Manager Tags

These tags appear on your listing and are used to help advisors and trustees search for managers with specific investment, service or client specialisations. The answers should reflect your current service offerings and should comply with any local rules on financial promotions. You may provide additional text or information for any of the options you select in the box at the end of this form.

Business Type

Please tick one box which best describes your business type.

Investment Manager	*Discretionary investment management services.*	☐
Wealth Manager	*Discretionary investment management and financial planning services.*	☐
Private Bank	*Wealth management and banking services.*	☐

Services and Capabilities

Please select any additional services or capabilities that you offer.

Execution Only	*We offer self-directed execution only portfolios.*	☐
Advisory	*We offer specific investment recommendations however the client makes the final decision whether to trade.*	☐
Lombard Lending	*We are able to lend (or arrange lending) against the value of the client's portfolio.*	☐
External Asset Manager	*We are able to act as an external asset manager for clients who have a segregated portfolio held at their own custodian bank.*	☐

Portfolio Types

Please provide details of the type of portfolios you currently offer to clients.

Bespoke	*Segregated client portfolios offered direct to clients which follow a defined model or house view but were a client portfolio can be tailored to specific investment requirements or restrictions.*	☐
Centralised	*Segregated client portfolios offered direct to clients and traded in line with a central model. Clients can be assured that the performance of all portfolios at a given level of risk are identical.*	☐
MPS	*A centralised investment process as above but which is made available via one or more online advisor platforms.*	☐
Fund	*A collective investment scheme such as an OEIC or SICAV*	☐

Client Specialisations

Please select any relevant client specific specialisations you have. To qualify you must be able to demonstrate that you have managed clients within each selected specialisation with for a minimum period of three years.

Charities	*We specialise in offering investment management services to charities and other not-for-profit organizations.*	☐
Institutional	*We specialise in offering investment management services to institutional clients such as pension funds.*	☐
UK Resident Non-Dom	*We are able to comply with investment and tax requirements for private clients with UK resident non-domiciled status.*	☐
US Citizens	*We are SEC registered and able to comply with reporting and investment restrictions for US citizens.*	☐
Tier 1 (Investor) Visa	*We are able to provide investment portfolios which comply with the Tier 1 (Investor) visa rules.*	☐

Please confirm that you have managed clients within each selected specialisations above for a minimum period of three years. ☐

Investment Specialisations

*Please indicate any relevant investment strategies you have below. To qualify you must be able to demonstrate, if requested, a minimum **three-year** track record for each investment specialisations you select below. For ESG/Impact strategies a minimum of one year is acceptable.*

Multi-Asset	*A strategy that invests across a range of asset classes.*	☐
Fixed Income	*A strategy that invests in fixed income securities or funds only.*	☐
Equity	*A strategy that invests in listed equities or equity funds only.*	☐
Direct	*A strategy that invests into direct equity/bonds rather than funds.*	☐
AIM	*A strategy that invests in exclusively in direct AIM companies.*	☐
Passive	*A strategy that invests exclusively into passive funds or ETFs.*	☐
Income	*A strategy that aims to generate a higher level of income through dividends and/or interest compared to an equivalent broad market index.*	☐
Quant	*A strategy that uses a systematic trading approach such as value / momentum.*	☐
Absolute Return	*A strategy that aims to avoid negative real returns over the short term through the use of derivatives or other trading strategies.*	☐
Ethical	*A strategy that screens out investments on ethical grounds (e.g. no tobacco, weapons etc.).*	☐
ESG	*A strategy where the underlying assets are actively screened and scored based on Environment, Social and Governance (ESG) criteria and where the score is periodically reported to clients invested in the strategy.*	☐
Impact	*An ESG Strategy (see above) that only invests in companies (either directly or indirectly) that aim to generate a positive, measurable social and environmental impact alongside a financial return.*	☐
Sharia	*A strategy that complies with the requirements defined under Sharia Law and where investments must be certified by experts, generally through a panel or board comprised of Sharia scholars*	☐

Please confirm that you are able to provide a three year(one year for ESG/Impact) track record, on request, for each of the strategies selected above. ☐

Additional Information

Please provide further details for any of the options you have selected above. The information you provide here will appear on your listing next to the relevant tag.

Declaration

I declare that the information provided in this form is true and complete to the best of my knowledge. ☐

Name		Date	
FIRM			

CONTACTS

Please visit:
www.mpindices.com

Karl Le Fevre
Tel: +44 1534 486 849
E-Mail: klefevre@mpindices.com

James Painter
Tel: +44 1534 486 840
E-Mail: jpainter@mpindices.com